

## APPROVAL REQUEST RETURN FOR ASSET TRANSFER RELATED TO DEFINED BENEFIT PROVISIONS

This return should be used to submit a request for permission to transfer assets related to defined benefit provisions under section 10.2 of the Pension Benefits Standards Act, 1985. For additional information, please refer to the Instruction Guide for Asset Transfers related to Defined Benefit Provisions of Pension Plans on the Applications and Approvals page in the Private Pension Plans section on the OSFI website.

1. Is this a Full or Partial Asset Transfer?
2. Effective Date of the Transfer (YYYY-MM-DD):
3. Are the benefits of any of the transferring individuals subject to provincial pension legislation?  Yes  No
4. Is the receiving plan currently registered with OSFI or pending registration with OSFI?  Yes  No

**Note: Do not use the characters "#" or "&" in the name of any files being uploaded in the questions below.**

5. Required documents to be included:

a) Approval Request Form - Asset Transfers related to Defined Benefit Provisions of Pension Plans

"Please upload your file using the Browse button below"

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b) Actuarial Report from the transferring plan at the effective date of transfer

"Please upload your file using the Browse button below"

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c) Actuarial Report from the receiving plan at the effective date of transfer

"Please upload your file using the Browse button below"

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d) Copy of notice(s) sent to transferring individuals

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6. Plus one or more of the following in respect of the transferring plan:

a) Executed Plan Amendment authorizing the asset transfer

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AND

**b) Pension Plan Amendment Information Form (OSFI 594)**

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AND/OR

**c) Board Resolution authorizing the asset transfer**

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**7. Plus one or more of the following in respect of the receiving plan:**

**a) Executed Plan Amendment recognizing the asset transfer**

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AND

**b) Pension Plan Amendment Information Form (OSFI 594), if registered with OSFI or pending registration with OSFI**

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AND/OR

**c) Board Resolution authorizing assumption of liabilities**

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**8. Plus any of the following, if applicable:**

**a) Pension transfer agreement**

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**b) Relevant section(s), interpretation and/or definitions of section(s) of the purchase and sale agreement**

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**c) Collective agreement(s)**

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**d) If the transferring plan is in a surplus position, a declaration that the asset transfer does not contravene the plan documents, trust agreements and any other agreements or undertakings**

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### e) Plan Text

"Please upload your file using the Browse button below"

File  
Upload:

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### f) Other documents and/or comments

"Please upload your file using the Browse button below"

File  
Upload:

Browse

SAMPLE